

Please type a plus sign (+) inside this box <sup>TM</sup>

12-26-00

PTO/SB/05 (4/98) (modified)

Approved for use through 09/30/00 OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.	ORCL.P0073
First Named Inventor:	Mohammad Faisal
Title:	Automated Extension of Cross References in a Knowledge Base
Express Mail Label No.	EL497530971US

PTO  
12-19-00  
jc914/FM  
12-19-00**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EL497530971US

Date of Deposit: December 19, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Mani Adeli

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

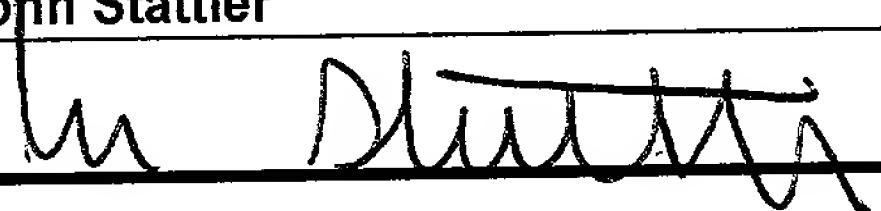
ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
2. <input type="checkbox"/> Applicant claims small entity status.	a. <input type="checkbox"/> Computer Readable Copy
3. <input checked="" type="checkbox"/> Specification -- Total Pages <b>74</b> (preferred arrangement set forth below)	b. Specification Sequence Listing on:
- Descriptive title of the invention	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Cross Reference to Related Applications	ii. <input type="checkbox"/> paper
- Statement Regarding Fed sponsored R & D	c. <input type="checkbox"/> Statement verifying identity of above copies
- Reference to sequence listing, a table, or a computer program listing appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) -- Total Sheets <b>7</b>	
5. <input checked="" type="checkbox"/> Declaration and Power of Attorney -- Total Pages <b>5</b>	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)	10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d) and 1.33(b).	11. <input type="checkbox"/> English Translation Document (if applicable)
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7. <input type="checkbox"/> CD-ROM or CD-R in dup, lg. table or Comp. Prog (Appendix)	13. <input type="checkbox"/> Preliminary Amendment
18. <input type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: Prior application information: Examiner. Group/Art Unit	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
19. CORRESPONDENCE ADDRESS	16. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (if foreign priority is claimed)
John Stattler of STATTER JOHANSEN & ADELI LLP P.O. Box 51860 - Palo Alto, California 94303-0728 U.S.A. Telephone: (650) 934-0470, ext. 10X; Facsimile: (650) 934-0475	17. <input type="checkbox"/> Other:

18.  If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 Continuation  Divisional  Continuation-in-part (CIP) of prior application No:  
Prior application information: Examiner. Group/Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

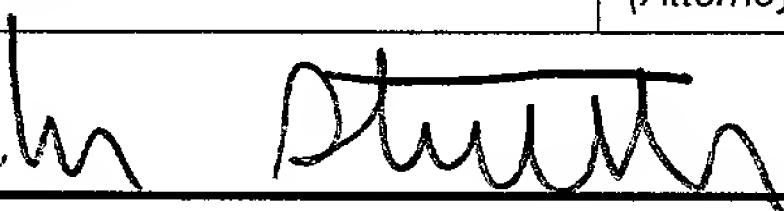
**19. CORRESPONDENCE ADDRESS**John Stattler of STATTER JOHANSEN & ADELI LLP  
P.O. Box 51860 - Palo Alto, California 94303-0728 U.S.A.  
Telephone: (650) 934-0470, ext. 10X; Facsimile: (650) 934-0475Customer Number of Bar Code Label  
**23349**

Name	John Stattler	Registration Number:	<b>36,285</b>
Signature		Date:	December 19, 2000

# FEE TRANSMITTAL FORM for FY 2001

		<i>Complete if Known</i>	
		Application Number	
		Filing Date	
		First Named Inventor	Mohammad Faisal
		Examiner Name	<none yet>
		Group / Art Unit	<none yet>
TOTAL AMOUNT OF PAYMENT	\$750.00	Attorney Docket Number	ORCL.P0073

<b>METHOD OF PAYMENT</b> (check one)		<b>FEES CALCULATION (continued)</b>																																																																																																																																																																									
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <b>15-0635</b> Deposit Account Name: <b>Oracle Corporation</b> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th></th> <th></th> <th></th> <th></th> </tr> <tr> <th>Code</th> <th>Fee</th> <th>Code</th> <th>Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surchg – late filing fee or oath (Missing Parts)</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surchg – late prov filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Request pub of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Req publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recordation (Assignment) Fee (X ea. prop )</td><td><b>40</b></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing submission aft final rej (37CFR §1 129(a))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (3)</td><td><b>\$ 40</b></td></tr> </tbody> </table>		Large Entity	Small Entity					Code	Fee	Code	Fee	Fee Description	Fee Paid	105	130	205	65	Surchg – late filing fee or oath (Missing Parts)		127	50	227	25	Surchg – late prov filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Request pub of SIR prior to Examiner action		113	1,840*	113	1,840*	Req publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive – unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recordation (Assignment) Fee (X ea. prop )	<b>40</b>	146	710	246	355	Filing submission aft final rej (37CFR §1 129(a))		179	710	279	355	Request for Continued Examination (RCE)		SUBTOTAL (3)					<b>\$ 40</b>
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Name	John Stattler	Registration No. (Attorney/Agent)	36,285	Telephone	(650) 934-0470 ext. 100
Signature				Date	December 19, 2000

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	<b>First Named Inventor</b>	Mohammad Faisal
	<b>Title</b>	Automated Extension of Cross References in a Knowledge Base
	<b>Atty Docket Number</b>	ORCL.P0073

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 19, 2000

Date



Signature

John Stattler

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement. This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.